PTO/SB/05 (03/01)

Please type a plus sign (+) inside this box [+]

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number	**SHPR-01041USX**
Inventor	**Charles E. Taylor et al.**
Title	**Electro-Kinetic Air Transporter-Conditioner**
Express Mail Label No.	**EV 327 619 087 US**

17548 U.S.PTO 10/706390 111203

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning Utility Patent Application Contents

ADDRESS TO: Commissioner for Patents
Mail Stop Patent Application
Alexandria, VA 22313-1450

1. ☑ Fee Transmittal Form (PTO/SB/17) (in duplicate for fee processing)
2. ☐ Applicant claims small entity status (See 37 CFR 1.27)
3. ☑ Specification Total Pages 20
   (preferred arrangement set forth below)
   - Descriptive Title of the invention
   - Cross Reference to Related Applications
   - Statement Regarding Fed Sponsored R & D
   - Reference to Sequence Listing, a table or computer program listing Appendix
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawing(s) (if filed)
   - Detailed Description
   - Claim(s) 18
   - Abstract of the Disclosure
4. ☑ Formal Drawing(s) *(35 USC 113)* Total Sheets 12
5. ☑ Oath or Declaration Total Pages 2
   a. ☐ Newly Executed
   b. ☑ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional with Box 18 completed)*
      i. ☐ DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☑ Application Data Sheet. See 37 CFR 1.76
   4 Total Pages
7. ☐ CD-ROM or CD-R in duplicate, large table or computer program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
   a. ☐ Computer Readable Form (CRF)
   b. Specification Sequence Listing on:
      i. ☐ CD-ROM or CD-R (2 copies); or
      ii. ☐ paper
   c. ☐ Statements verifying identity of above

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (Cover Sheet & Document(s)) _ Total Pages
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney *(when there is an assignee)*
11. ☐ English Translation Document *(if applicable)*
12. ☑ Information Disclosure Statement ((IDS)/PTO-1449) 10 Total Pages 8 IDS Citations
13. ☐ Preliminary Amendment _ Total Pages
14. ☑ Return Postcard, specifically itemized (MPEP 503)
15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☐ Nonpublication Request under 35 USC 122(b)(2)B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other

18. **If a CONTINUING APPLICATION,** *check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:*

☑ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09 / 730, 499

Prior application information: *Examiner:* Thao T. Tran *Group/Art Unit:* 1711

For Continuation or Divisional Apps only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☑ Customer Number 23910 or ☐ Correspondence Address below

NAME	Fliesler Dubb Meyer & Lovejoy LLP				
ADDRESS	Four Embarcadero Center, Fourth Floor				
CITY	San Francisco	STATE	CA	ZIP CODE	94111
COUNTRY	USA	*TELEPHONE*	415/362-3800	FAX	415/362-2928

Name (Print/Type)	Jeffrey R. Kurin	*Registration No. (Attorney/Agent)*	41,132
Signature	Jeffrey R. Kurin	*Date*	November 12, 2003

17648 U.S. PTO

PTO/SB/17 (10/02) (modified)
Approved for use through 04/30/2003, OMB 0651-0032
Patent and Trademark Office; US DEPARTMENT OF COMMERCE

2003

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **($) 1,028.00**

Complete if Known	
Application Number	**Continuation of 09/730,499**
Filing Date	**November 12, 2003**
Inventor	**Charles E. Taylor t al.**
Group Art Unit	**1711**
Examiner Name	**Thao T. Tran**
Attorney Docket Number	**SHPR-01041USX**

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

[ ] Charge the indicated fees to the below mentioned deposit account.

[X] Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.†

[ ] Applicant claims small entity status. See 37 CFR 1.27.

Deposit Account Number: 06-1325
Deposit Account Name: Fliesler Dubb Meyer & Lovejoy

2. [X] Payment Enclosed:
[X] Check [ ] Other

FEE CALCULATION (fees effective 10/1/01)

1. FILING FEE

Large Entity Fee Cod/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
1001/$770	2001/$385	Utility Filing	770
1002/$330	2002/$165	Design Filing	
1004/$750	2004/$375	Reissue	
1005/$160	2005/$80	Provisional Filing	

SUBTOTAL (1) ($) 770

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
1202/$18	2202/$9	Claims in excess of 20
1201/$86	2201/$43	Independent claims in excess of 3
1203/$280	2203/$140	Multiple dependent claim
1204/$86	2204/$43	Reissue independent claims over original patent
1205/$18	2205/$9	Reissue claims in excess of 20 and over original patent

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
1051/$130	2051/$65	Surcharge - late filing fee or oath	
1052/$50	2052/$25	Surcharge-late provisional filing fee or cover sheet	
1812/$2,520	1812/$2,520	For filing a request for reexamination	
1251/$110	2251/$55	Extension for response within first month†	
1252/$420	2252$210	Extension for response within second month†	
1253/$950	2253/$475	Extension for response within third month†	
1254/$1,480	2254/$740	Extension for response within fourth month†	
1255/$1,970	2255/$985	Extension for response within fifth month†	
1401/$320	2401/$160	Notice of Appeal	
1453/$1,300	2453/$650	Petition to revive unintentionally abandoned application	
1501/$1,330	2501/$665	Utility Issue Fee (Or Reissue)	
1502/$470	2502/$235	Design Issue Fee	
1460/$130	1460/$130	Petitions to the Commissioner	
1814/$110	2814/$55	Statutory Disclaimer	
1806/$180	1806/$180	Submission of Information Disclosure Statement	
8021/$40	8021/$40	Recording each patent assignment per property (times number of properties)	
1809/$750	2809/$375	Filing a submission after final rejection (37 CFR 1.129(a))	
1801/$770	2801/$385	Request for Continued Examination (RCE)	
		Other fee *(specify)*:	
		Other fee *(specify)*:	

SUBTOTAL (3) ($) 0

For	(Col. 1) No. of Existing Claims		(Col. 2) Highest No. Previously Paid For		(Col. 3) Extra**		Fee		Fee Due
TOTAL	18	minus*	20	or =	0	x		=	0
INDEP	6	minus*	3	or =	3	x	86	=	258
[ ] First presentation of multiple dependent claim								=	0

* *Subtract the greater number of Col. 2*
** *If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3*

SUBTOTAL (2) ($) 258

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	**Jeffrey R. Kurin**	Reg. Number	**41,132**
Signature	Jeffrey R. Kurin	Date	**November 12, 2003**